

## **Financial Crimes; a three day conference and work-shop**

### **ERC Course E350; Class sized limited to 28 Participants**

Course fee: \$495, includes all course materials

#### **Learning Outcomes**

Participates completing this course will gain significant insight into the complex mechanics and methods of global financial crime by examining various investor and commercial frauds, schemes and insider threats affecting multiple business sectors, along with an in-depth review of tools and practices to employ as safeguards including choosing and placing of the “right team” to best protect your organization and activities.

#### **Course Description**

A comprehensive three day illuminating experience – financial services, investment groups and other business sectors have increasingly become exposed to fraud and other financial crime with half of all organizations becoming victims in some way the past three years. Criminals have become more sophisticated in terms of their utilization and manipulation of systems, technology, funding groups and other schemes. As a consequence the economy loses billions of dollars annually to all forms of financial crime. It has become essential for potential business fraud targets to develop tough anti-fraud programs and prudent oversight methodologies to protect daily operations.

#### **Training Modules Covered**

- Understanding financial crime
- International models and influences
- Financial Crime, the North American framework
- Key roles and responsibilities; internal staff and law enforcement
- Anti-money laundering and terrorist financing methods and strategies
- Prevention and detection of specific financial crime risks
- Facility and operational threat and risk assessment
- Business frauds; insider threats, vendors, suppliers
- Financial sector fraud; bank, securities, commodities, Forex, equity
- Due diligence research as it should be done
- Cybercrime and in-house network system targets and weaknesses
- Investigation, prosecution, civil litigation and recovery
- Internal investigations; staff and vendors
- Selecting the right anti-fraud and financial crimes team

#### **Who Should Attend**

Law enforcement; Financial Crimes  
Prosecutors and Key Staff  
Security and Risk Managers  
Financial Officers  
Economic Development Funding  
Decision Makers

Banking and Investment Officers  
Banking Security Managers  
Corporate Chief Security Officers  
Accountants and Compliance Staff  
Commercial Transaction Attorneys  
Internal Audit, Regulatory Staff